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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5pt 5 Refs.

Application Number	10/705,325	
Filing Date	November 10, 2003	
First Named Inventor	Hideki Kuwajima, et al.	
Art Unit	2652	
Examiner Name	To Be Assigned	
Attorney Docket No.	YAO-4337US4	

ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached	Drawing(s)  Licensing-related Papers	After Allowance Communication to Group			
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks:	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  PTO-1449 & 5 References  Return Receipt Postcard			
	OF APPLICANT, ATTORNEY OR AC	SENT			
Firm or Individual Name  Signature  Daniel N. Calder  Registration No. (Attorney/Agent)  27,424					
Date February 20, 2004					
CERTIFICATE OF TRANSMISSION / MAILING					
I hereby certify that this correspondence is being facsimile postage as first class mail in an envelope addressed to: C	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:				
Typed or printed name Vickie Jones					
Signature Wolfe	mes	Date February 20, 2004			

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/705,325

Applicant: Filed:

Hideki Kuwajima, et al. November 10, 2003

Title:

HEAD SUPPORT MECHANISM AND THIN FILM PIEZOELECTRIC ACTUATOR

TC/A.U.:

2652

Examiner:

To Be Assigned

Conf. No.:

5061

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the listed reference is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of an English language search report, indicating the degree of relevance determined by the foreign patent office, is submitted herewith. MPEP Section 609A(3), second paragraph.

References WO 99/01901 and WO 98/19304 are not in the English language, but an English translation thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Kul N. Cuda Daniel N. Calder, Reg. No. 27,424

Attorney for Applicants

DNC/vj

Enclosures: PTO Form 1449

(5) References Transmittal Form

Dated: February 20, 2004

☑ P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

☐ P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known			
Application Number	10/705,325		
Filing Date	November 10, 2003		
First Named Inventor	Hideki Kuwajima, et al.		
Art Unit	2652		
Examiner Name	To Be Assigned		
Attorney Docket No.	YAO-4337US4		

	U.S. PATENT DOCUMENTS					
_		Document Number			Pages, Columns, Lines, Where	
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
		WO 98/19304 A1	05-07-1998	TDK Corp.	Entire Document	
		WO 98/27547 A	06-25-1998	Seagate Technology, Inc.	Entire Document	
		WO 99/01901 A1	01-14-1999	Kabushiki Kaisha Tokai Rika Denki Seisakusho	Entire Document	
		EP 591885 B1	01-28-1998	Matsushita Electric Industrial Co., Ltd.	Entire Document	
	<u> </u>					

Examiner	Date	
Signature	Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

(AW 08/03)

itute for Form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known		
Ap	plication Number	10/705,325	
Fil	ing Date	November 10, 2003	
Fir	st Named Inventor	Hideki Kuwajima, et al.	
Ап	t Unit	2652	
Ex	aminer Name	To Be Assigned	
Att	torney Docket No.	YAO-4337US4	

	NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
		Australian Search Report dated October 31, 2003 (SG 200100498-5).	0		
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Examiner Signature		Date	<u></u>		

Examiner	Date	
Signature	Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

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